



2019-21

QUARTERLY FINANCIAL REPORT

SIXTH QUARTER
Through December 2020

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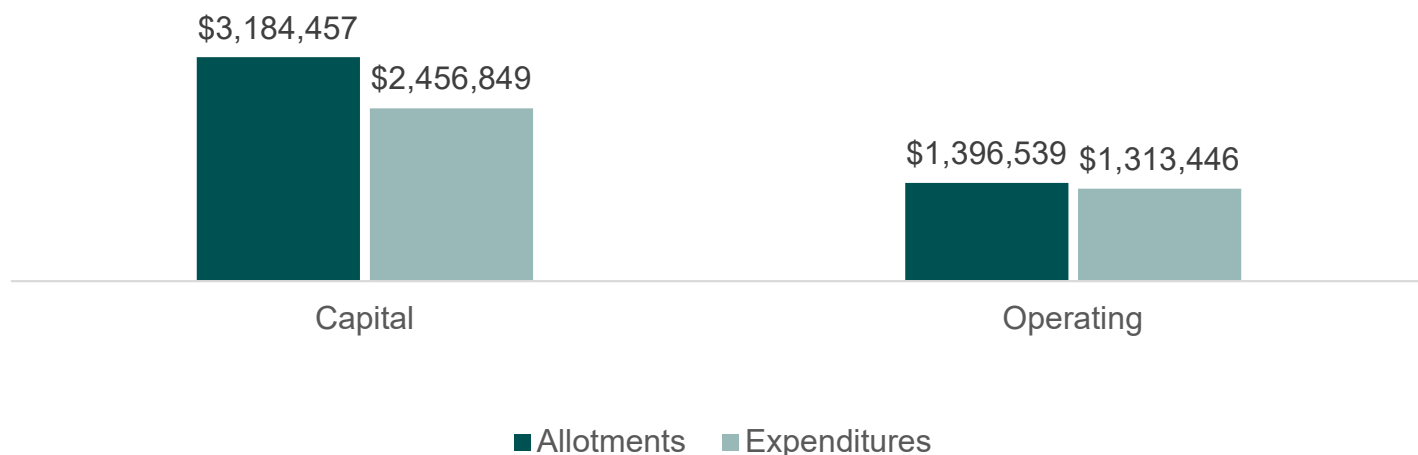
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AGENCY LEVEL FINANCIAL SUMMARY

Agency Allotments & Expenditures to Date

Appropriated Only – Through December 2020

Dollars in Thousands



Agency Highlights

This report reflects the current biennium spending patterns through the close of the sixth quarter (December 2020). During the sixth quarter, operating programs allotment plans were updated to reflect spending plans per the Governor's directives on freezing hiring, consultant costs, and equipment purchases. This resulted in approximately \$48 million being moved from allotted to reserve status.

Implications for biennial close

Overall, the department is expected to finish the biennium within expenditure limits for all programs. However, it is important to note, expenditure limits could be modified by the 2021 Legislature, which is scrutinizing planned expenditures and may adjust appropriations accordingly. In addition, Program U (payments to other agencies) is relying on additional legal costs proposed by the Governor to be funded by the Legislature. If these were not funded, the department would need to use transfer authority from other operating programs, provided it was granted by the Legislature.

Operating Programs

At the close of the sixth quarter, operating program expenditures were 6% under planned allotments to date, with the highest variances in the Public Transportation and Highway Maintenance Operations programs.

In Public Transportation, the underspending was due to delays with the Regional Mobility Grant Program and timing of expenditures related to Covid-19 impacts. For Highway Maintenance Operations, the pandemic also slowed rates of work and the freezes on hiring reduced expenditures, although after the sixth quarter the program was approved to hire staff for spring highway maintenance.

After additional allotment adjustments are made, Rail expenditures are expected to be in-line with spending plans by the seventh quarter, these adjustments will be reflected in the next quarterly financial report,

AGENCY LEVEL FINANCIAL SUMMARY (CONTINUED)

Capital Programs

At the close of the sixth quarter, Capital programs, expenditures were approximately 23% less than planned allotments to date. The allotment plan reflects most impacts pandemic-known impacts through last Fall for all capital program areas. Additional under-delivery is anticipated to occur as we near the end of the biennium. The greatest under-delivery is in the highway improvement program. Staffing (FTE) levels in this area are significantly below the levels identified through the agency construction program business plan process necessary to deliver the program. Current staffing levels are not significantly different than pre-pandemic levels.

Within the highway construction program, most of the under-delivery is associated with state funds. However, given the additional challenges in managing the federal program (e.g., MAP-21 target penalties, limited apportionment, and delivery challenges) concerns are increasing on the ability for the department to obligate all our available federal funding this federal fiscal year. Failure to obligate our federal funds would result in the State being a donor state through the federal redistribution process. The quickest fix for mitigating delivery risks would be to develop new protocols in how the State manages apportionment balances between WSDOT and the local share of federal funds.

DPS spending levels have not dropped off to the same degree that overall highway construction spending has. DPS is currently within 16% of the allotment plan for budgeted and distributed DPS. The impact of this scenario is that higher levels of DPS are being distributed to lower levels of project spending. This situation creates additional pressure on individual project budgets as they are being charged proportionally more for DPS costs than initially planned.

The second highest area of under-delivery is in WSF vessels where spending for the new hybrid electric ferry, the first hybrid conversion on the Jumbo Mark II's, and other preservation work have gone slower than planned.

It is important to remember that the legislature's intent for WSDOT delivery in the capital programs is based on the 2020 legislative project list and constrained by an assumed under-delivery as reflected in the current appropriations. The allotments provide one measure of transparency of the departments anticipated under-delivery in the capital programs.

Future legislative adjustments to the current biennium's budget are expected; the department requested a lower number of appropriations for 2019-21 in its January 2021 update.

AGENCY LEVEL FINANCIAL SUMMARY (CONTINUED)

Agency Expenditure Summary by Capital & Operating Program

Appropriated Only

Dollars in Thousands

Appropriated Only	To-Date				Total Biennium			
Capital & Operating by Program	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Capital	\$3,184,457	\$2,456,849	\$727,607	23%	\$5,213,633	\$4,941,596	50%	25%
D-Capital Facilities	84,024	78,658	5,367	6%	\$102,710	102,710	23%	25%
F-Aviation	75	0	75	0%	\$75	75	100%	25%
I-Improvements	1,960,441	1,511,036	449,405	23%	\$3,284,027	3,098,300	51%	25%
P-Preservation	588,818	491,150	97,668	17%	\$838,044	810,834	39%	25%
Q-Traffic Operations	8,989	5,045	3,944	44%	\$14,562	14,562	65%	25%
W-WA State Ferries-Construction	370,950	220,783	150,167	40%	\$537,744	512,744	57%	25%
Y-Rail	31,978	33,211	(1,233)	(4%)	\$95,518	86,018	61%	25%
Z-Local Programs	139,181	116,967	22,214	16%	\$340,953	316,353	63%	25%
Operating	\$1,396,539	\$1,313,446	\$83,093	6%	\$2,001,094	\$1,917,939	32%	25%
B-Toll Operations & Maintenance	93,275	83,907	9,369	10%	\$146,083	118,676	29%	25%
C-Information Technology	74,488	73,959	529	1%	\$102,392	98,356	25%	25%
D-Capital Facilities	24,186	22,374	1,812	7%	\$34,841	33,983	34%	25%
F-Aviation	6,551	5,336	1,214	19%	\$10,846	10,099	47%	25%
H-Program Delivery Management & Support	41,643	40,464	1,179	3%	\$60,546	55,898	28%	25%
K-Public Private Partnerships	969	831	138	14%	\$4,304	3,088	73%	25%
M-Highway Maintenance & Operations	350,618	332,712	17,906	5%	\$513,575	489,954	32%	25%
Q-Traffic Operations	56,600	52,156	4,444	8%	\$78,653	76,229	32%	25%
S-Transportation Management & Support	28,841	26,476	2,365	8%	\$41,796	39,092	32%	25%
T-Trans Planning, Data & Research	43,679	39,583	4,097	9%	\$71,276	65,353	39%	25%
U-Charges from Other Agencies	68,375	68,579	(204)	0%	\$82,856	82,856	17%	25%
V-Public Transportation	162,171	134,769	27,402	17%	\$247,734	242,137	44%	25%
X-WA State Ferries- Op & Maint	399,955	391,536	8,418	2%	\$519,177	531,102	26%	25%
Y-Rail	34,653	30,595	4,058	12%	\$71,461	56,604	46%	25%
Z-Local Programs	10,535	10,169	366	3%	\$15,554	14,512	30%	25%
Grand Total	\$4,580,996	\$3,770,296	\$810,700	18%	\$7,214,727	\$6,859,535	45%	25%

Agency Expenditure Summary by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-Date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	729,548	635,493	94,055	13%	1,004,762	998,668	36%	25%
Local	63,521	49,702	13,819	22%	113,530	88,829	44%	25%
State	3,787,927	3,085,101	702,826	19%	6,096,435	5,772,038	47%	25%
Grand Total	\$4,580,996	\$3,770,296	\$810,700	18%	\$7,214,727	\$6,859,535	45%	25%

Notes: At the close of sixth quarter, 6 months (or 25%) of the biennium remained. Although this report shows the remaining allotments for the biennium, not all funds may be available, due to provisos and reduction efforts.

Remaining allotment percentage calculated by subtracting expenditures to-date from total allotments, divided by total allotments. (Example from Grand Total in table above: \$6,859,535- 3,770,296 / \$6,859,535 = 0.45 or 45%)

AGENCY LEVEL FINANCIAL SUMMARY (CONTINUED)

Agency Expenditure Summary by Capital & Operating & Fund Source

Appropriated Only

Dollars in Thousands

Capital and Operating	To-Date	Total Biennium						
by Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Capital	\$3,184,457	\$2,456,849	\$727,607	23%	\$5,213,633	\$4,941,596	50%	25%
Federal	652,737	571,276	81,461	12%	\$898,784	\$898,784	36%	25%
Local	61,233	48,073	13,160	21%	\$110,106	\$85,506	44%	25%
State	2,470,487	1,837,500	632,986	26%	\$4,204,743	\$3,957,306	54%	25%
Operating	\$1,396,539	\$1,313,446	\$83,093	6%	\$2,001,094	\$1,917,939	32%	25%
Federal	76,811	64,217	12,594	16%	\$105,978	\$99,884	36%	25%
Local	2,288	1,629	659	29%	\$3,424	\$3,323	51%	25%
State	1,317,440	1,247,601	69,840	5%	\$1,891,692	\$1,814,732	31%	25%
Grand Total	\$4,580,996	\$3,770,296	\$810,700	18%	\$7,214,727	\$6,859,535	45%	25%

Agency FTE Expenditure Summary by Capital, Operating, and Program

Appropriated Only

Appropriated Only	Average FTEs to-date				Total Biennium	
By Program	Budgeted	Actuals	Variance	Percent (Over)/Under	Budgeted	Months Remaining %
Capital	2,207	2,076	132	6%	2,194	25%
D-Capital Facilities	8	10	(2)	(30%)	8	25%
I-Improvements	1,178	1,147	31	3%	1,182	25%
P-Preservation	831	750	81	10%	818	25%
Q-Traffic Operations	10	7	3	33%	10	25%
W-WA State Ferries-Construction	168	150	19	11%	164	25%
Y-Rail	12	11	1	6%	12	25%
Z-Local Programs	0	1	(1)	0%	0	25%
Operating	4,703	4,276	427	9%	4,692	25%
B-Toll Operations & Maintenance	49	46	3	6%	50	25%
C-Information Technology	243	232	11	5%	243	25%
D-Capital Facilities	92	68	24	26%	93	25%
F-Aviation	13	12	1	10%	13	25%
H-Program Delivery Management & Support	214	201	13	6%	214	25%
K-Public Private Partnerships	2	2	(0)	(2%)	2	25%
M-Highway Maintenance & Operations	1,599	1,415	184	11%	1,599	25%
Q-Traffic Operations	262	258	4	1%	262	25%
S-Transportation Management & Support	202	186	16	8%	202	25%
T-Trans Planning, Data & Research	179	151	28	16%	179	25%
V-Public Transportation	25	29	(4)	(18%)	25	25%
X-WA State Ferries- Op & Maint	1,765	1,622	143	8%	1,752	25%
Y-Rail	15	14	1	5%	15	25%
Z-Local Programs	44	40	4	8%	44	25%
Grand Total	6,910	6,352	558	8%	6,885	25%

AGENCY LEVEL FINANCIAL SUMMARY (CONTINUED)

Comparison for Transportation Revenue Forecast – Major Revenue Sources

Dollars in Thousands

Sources of Transportation Revenue	Current Forecast Nov. 2020 (b)	Budget Forecast Feb. 2020 (b)	Change from Budget	Percent Change
Motor Vehicle Fuel Tax Collections	\$3,389,568	\$3,635,241	(\$245,673)	(6.76%)
Licenses, Permits and Fees	\$1,552,640	\$1,223,885	\$328,755	26.86%
Ferry Revenue ^(a)	\$332,244	\$429,331	(\$97,087)	(22.61%)
Toll Revenue	\$360,317	\$486,898	(\$126,581)	(26.00%)
Aviation Revenues	\$6,407	\$7,067	(\$660)	(9.34%)
Rental Car Tax	\$53,598	\$73,339	(\$19,741)	(26.92%)
Vehicle Sales Tax	\$102,279	\$27,056	\$75,223	278.03%
Driver-Related Fees	\$288,160	\$315,810	(\$27,650)	(8.76%)
Business/Other Revenues	\$79,414	\$81,042	(\$1,628)	(2.01%)
Total Revenues	\$6,164,626	\$6,279,669	(\$115,043)	(1.83%)

Distribution of Revenue	Current Forecast Nov. 2020 (b)	Budget Forecast Feb. 2020 (b)	Change from Budget	Percent Change
Motor Fuel Tax Refunds and Transfers	\$243,066	\$235,788	\$7,278	2.99%
Motor Fuel Administrative Fee - DOL	\$18,708	\$19,475	(\$767)	(4.10%)
State Uses				
Motor Vehicle Account (108)	\$1,203,337	\$1,227,636	(\$24,299)	(2%)
Transportation 2003 (Nickel) Account (550)	\$411,011	\$429,612	(\$18,601)	(4.53%)
Transportation 2005 Partnership Account (09H)	\$607,435	\$632,700	(\$25,265)	(4.16%)
Connecting Washington Account (20H)	\$755,103	\$814,895	(\$59,792)	(7.92%)
Multimodal Account (218)	\$503,912	\$202,420	\$301,492	59.83%
Special Category C Account (215)	\$47,591	\$51,359	(\$3,768)	(7.92%)
Puget Sound Capital Construction Account (099)	\$34,627	\$37,369	(\$2,742)	(7.92%)
Puget Sound Ferry Operations Account (109)	\$393,961	\$489,927	(\$95,966)	(24.36%)
Capital Vessel Replacement Account (18J)	\$58,653	\$64,734	(\$6,081)	(10.37%)
Tacoma Narrows Bridge Account (511)	\$157,056	\$171,600	(\$14,544)	(9.26%)
High Occupancy Toll Lanes Account (09F)	\$6,144	\$11,065	(\$4,921)	(80.09%)
SR 520 Corridor Account (16J)	\$123,643	\$187,618	(\$63,975)	(51.74%)
SR 520 Corridor Civil Penalties Account (17P)	\$5,615	\$6,348	(\$733)	(13.05%)
Interstate 405 Express Toll Lanes Operations (595)	\$36,117	\$66,362	(\$30,245)	(83.74%)
Alaskan Way Viaduct Replacement Acct. (535)	\$31,742	\$43,905	(\$12,163)	(38.32%)
Aeronautics Account (039)	\$6,321	\$6,992	(\$671)	(10.62%)
Washington State Aviation Account (21G)	\$76	\$76	\$0	0.29%
State Patrol Highway Account (081)	\$466,197	\$442,624	\$23,573	5.06%
Highway/Motorcycle Safety Accts. (106 & 082)	\$261,628	\$289,670	(\$28,042)	(10.72%)
School Zone Safety Account (780)	\$500	\$834	(\$334)	(66.69%)
Other accounts (201, 06T, 097, 09E, 216, 07C)	\$21,587	\$21,648	(\$61)	(0.28%)
Electric Vehicle Account (20J)	\$20,869	\$19,813	\$1,056	5.06%
Ignition Interlock Devices Revolving Acct 14V	\$8,456	\$8,663	(\$207)	(2.45%)
Multiuse Roadway Safety Account Collections-571	\$341	\$319	\$22	6.50%
Total State Use	\$5,161,921	\$5,228,189	(\$66,268)	(1.27%)

Local Uses	Current Forecast Nov. 2020 (b)	Budget Forecast Feb. 2020 (b)	Change from Budget	Percent Change
Cities	\$182,515	\$196,967	(\$14,452)	(8%)
Counties	\$296,047	\$317,556	(\$21,509)	(7.27%)
Transportation Improvement Board (112 & 144)	\$195,907	\$210,696	(\$14,789)	(7.55%)
County Road Administration Board (102 & 186)	\$66,462	\$71,001	(\$4,539)	(6.83%)
Total Local Use	\$740,931	\$796,220	(\$55,289)	(7.46%)

Total Distribution of Revenue	\$6,164,626	\$6,279,672	(\$115,046)	(1.87%)
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(a) Ferry Fares plus non-farebox revenue

(b) The budget forecast column reflects the February 2020 revenue forecast for the 2019-21 biennium which was used to develop the 2019 Transportation Budget bill (Ch. 416, Laws of 2019). The current forecast column reflects the latest forecast (November 2020).

(b) The November 2020 forecast includes Initiative 976 (I-976) revenue impacts; however, the February 2020 baseline forecast did not include the revenue impacted by I-976. The revenue reduction reflected above between November 2020 and February baseline 2020 reflects both the impact of I-976 and the Covid19 pandemic. The loss of revenue due solely to the Covid19 pandemic between November 2020 and February 2020 is \$568 million in the 2019-2021 biennium and \$233 million in the 2021-2023 biennium.

For more information see <https://www.ofm.wa.gov/budget/budget-instructions/transportation-revenue-information>

PROGRAM LEVEL FINANCIAL SUMMARIES

PROGRAM B – TOLL OPERATIONS & MAINTENANCE

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
B2-TNB Toll Operations & Maintenance	23,326	23,060	266	1%	33,806	29,380	22%	25%
511-Tacoma Narrows Toll Bridge	23,326	23,060	266	1%	33,806	29,380	22%	25%
B3-High Occupancy Toll Lanes M&O	2,606	2,336	270	10%	4,213	3,522	34%	25%
595-I405/SR167 Express Toll Lanes	2,606	2,336	270	10%	4,213	3,522	34%	25%
B4-I-405 Express Toll Lanes	15,217	12,926	2,291	15%	23,244	19,874	35%	25%
595-I405/SR167 Express Toll Lanes	15,217	12,926	2,291	15%	23,244	19,874	35%	25%
B5-State Route 520	38,041	33,576	4,465	12%	63,204	47,067	29%	25%
16J-SR 520 Corridor	35,860	32,137	3,723	10%	59,059	43,866	27%	25%
17P-SR 520 Civil Penalties	2,182	1,440	742	34%	4,145	3,201	55%	25%
B9-SR 99 Tunnel	14,084	12,008	2,076	15%	21,616	18,833	36%	25%
535-AWV Replacement Project	14,084	12,008	2,076	15%	21,616	18,833	36%	25%
Grand Total	\$93,275	\$83,907	\$9,369	10%	\$146,083	\$118,676	29%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
State	93,275	83,907	9,369	10%	146,083	118,676	29%	25%
Grand Total	\$93,275	\$83,907	\$9,369	10%	\$146,083	\$118,676	29%	25%

Program Highlights – Operating

At the close of the sixth quarter, program expenditures were \$9.4 million (or, 10%) less than planned allotments to date. The variances represented in this report are based on the impacts of the COVID-19 pandemic. Toll traffic and revenue have been down due to the pandemic since March 2020. As a result, costs directly associated with toll traffic and revenue performance have decreased.

Credit card fees, mailing costs, and adjudication costs have decreased for all facilities. State Patrol Enforcement State Route (SR) 167 Express Toll Lanes (ETL) and I-405 ETL have been put on hold. In addition, steps have been taken to reduce costs to toll funds such as closing three Customer Service Center (CSC) Vendor Walk-In-Centers permanently, reducing data support budget, SR 99 Performance Monitoring Plan budget, hiring freeze, reduction of printing, supplies, and materials. The additional steps outlined

above were previously reported in the fifth quarter when the allotment adjustments were approved, allotments reduced, and funding placed into reserve status.

Approximately \$1 million of the variance was an accounting adjustment due to the liquidated damages from the CSC Procurement project that was represented as a decrease of expenditures. The previous treatment was approved by the Office of Financial Management; however, the State Auditor's Office had a difference in opinion so the Accounting and Financial Services (AFS) division decided these funds need to be represented as revenue in June 2020.

PROGRAM C – INFORMATION TECHNOLOGY-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
C1-Business and Administration	3,014	3,303	(289)	(10%)	4,308	3,949	16%	25%
108-Motor Vehicle	1,236	1,161	75	6%	1,793	1,646	29%	25%
218-Multimodal Transportation	1,778	2,142	(364)	(20%)	2,515	2,303	7%	25%
C2-Field Services	24,437	24,237	200	1%	35,163	34,103	29%	25%
108-Motor Vehicle	24,285	24,060	225	1%	34,960	33,900	29%	25%
550-Transportation 2003 (Nickel)	152	177	(25)	(16%)	203	203	13%	25%
C3-Infrastructure Services	10,685	10,988	(303)	(3%)	15,857	14,562	25%	25%
09H-Transportation Partnership	429	431	(2)	0%	573	573	25%	25%
108-Motor Vehicle	10,256	10,557	(301)	(3%)	15,284	13,989	25%	25%
C4-Enterprise Implementation	9,229	8,005	1,224	13%	12,697	12,201	34%	25%
108-Motor Vehicle	9,229	8,005	1,224	13%	12,697	12,201	34%	25%
C5-Information and Applications	8,840	8,655	185	2%	12,647	12,139	29%	25%
108-Motor Vehicle	8,020	7,860	160	2%	11,538	11,030	29%	25%
550-Transportation 2003 (Nickel)	820	795	25	3%	1,109	1,109	28%	25%
C6-Software Maintenance Contracts	14,285	14,817	(532)	(4%)	15,913	15,913	7%	25%
09H-Transportation Partnership	40	40	(0)	0%	40	40	(0%)	25%
108-Motor Vehicle	13,497	14,009	(512)	(4%)	15,099	15,099	7%	25%
109-Puget Sound Ferry Operations	261	257	4	1%	263	263	2%	25%
218-Multimodal Transportation	363	363	-	0%	363	363	0%	25%
550-Transportation 2003 (Nickel)	124	148	(24)	(19%)	148	148	0%	25%
C7-Data Management	3,998	3,953	45	1%	5,807	5,489	28%	25%
09H-Transportation Partnership	631	623	8	1%	847	847	26%	25%
108-Motor Vehicle	3,367	3,330	37	1%	4,960	4,642	28%	25%
Grand Total	\$74,488	\$73,959	\$529	1%	\$102,392	\$98,356	25%	25%

PROGRAM C – INFORMATION TECHNOLOGY-OPERATING

(CONTINUED)

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
C3-Infrastructure Services	3	3	(0)	(11%)	\$4	4	18%	25%
784-Miscellaneous Trans Programs	3	3	(0)	(11%)	\$4	4	18%	25%
Grand Total	\$3	\$3	\$0	(11%)	\$4	\$4	18%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
State	74,488	73,959	529	1%	102,392	98,356	25%	25%
Grand Total	\$74,488	\$73,959	\$529	1%	\$102,392	\$98,356	25%	25%

Program Highlights – Operating

At the close of the sixth quarter, Information Technology program expenditures were \$529,000 (or 1%) less than planned allotments to date, this was due to the delayed approval for the OneWA project technology budget, as well as delayed expenditures for the Labor System Replacement project. If not for these two projects spending behind the plan, the program would appear to be spending ahead of allotments to date; this was primarily due to accelerated spending for software licenses.

Multimodal funds show as overspent in Business and Administration (C1); however future allotment adjustments will correct this issue.

General Notes – Operating

As a result of Governor directed freezes in hiring, contracting, and equipment purchases, the department placed (to-date and projected) reductions in the amount of \$3.3 million, into reserve status in a November allotment adjustment. This adjustment is reflected in this report in the form of reduced total allotments.

Overall, although the program has had some reductions (placed in reserve), it is also spending more in some cases to support employees teleworking through the pandemic. In particular, the program has higher costs for software licensing and infrastructure services than there otherwise might have been, though this has been mitigated by freezes in other areas.

PROGRAM D – CAPITAL FACILITIES-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
D4-Capital Facilities-Maintenance & Operation'	24,186	22,374	1,812	7%	34,841	33,983	34%	25%
108-Motor Vehicle	24,162	22,349	1,813	8%	34,807	33,949	34%	25%
16J-SR 520 Corridor	24	25	(1)	(2%)	34	34	26%	25%
Grand Total	\$24,186	\$22,374	\$1,812	7%	\$34,841	\$33,983	34%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
State	24,186	22,374	1,812	7%	34,841	33,983	34%	25%
Grand Total	\$24,186	\$22,374	\$1,812	7%	\$34,841	\$33,983	34%	25%

Program Highlights - Operating

At the close of the sixth quarter, Capital Facilities-Operating program expenditures were \$1.8 million (or 7%) less than planned allotments to date. This variance was partly due to suspended facility maintenance work related to Governor's Stay Home, Stay Healthy order and freezes on hiring and equipment purchases.

Additionally, prior biennium rebates from utility companies (\$207,000) and COP proceeds (\$305,500) were booked as expenditure reduction credits in the current biennium.

Other lower than expected expenditures were due to delayed billings for wireless leases. The program anticipates expenditures to align with the current plan by the end of the biennium.

General Notes - Operating

The program pays for the costs of operating and maintaining both state owned and leased facilities. Costs include utilities, local government assessments, lease agreements as well as preventive maintenance and minor repairs.

The program has taken steps to limit spending by freezing hiring of vacant positions. The department placed to-date and projected reduced spending of \$646,000 into reserve status, which is reflected in this Quarterly Financial Report.

PROGRAM D – CAPITAL FACILITIES-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
D3-Capital Facilities-Construction	84,024	78,658	5,367	6%	102,710	102,710	23%	25%
108-Motor Vehicle	39,887	34,793	5,094	13%	51,187	51,187	32%	25%
20H-Connecting Washington	44,137	43,864	273	1%	51,523	51,523	15%	25%
Grand Total	\$84,024	\$78,658	\$5,367	6%	\$102,710	\$102,710	23%	25%

Allotments vs. Expenditures Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
State	84,024	78,658	5,367	6%	102,710	102,710	23%	25%
Grand Total	\$84,024	\$78,658	\$5,367	6%	\$102,710	\$102,710	23%	25%

Program Highlights – Capital

At the close of the sixth quarter, program expenditures were \$5.3 million (or 6%) less than program allotments to date, primarily due to the Governor's Stay Home, Stay Healthy order issued in March. Following this order, the department suspended most state construction work. However, work has resumed, and the department expects to complete all planned work within the biennium.

Some minor works projects are spending behind the plan due to the pandemic and delayed approvals and coordination with stakeholders.

The Northwest Region Headquarters Renovation (Dayton Avenue project) spent \$3.4 million (or 10%) under allotments due to delays in permitting and a stop work on construction activity due to the pandemic. Both this project and the Olympic Region Administrative and Maintenance Facility are experiencing cost increases related to Covid-19 and additional appropriation authority for this biennium has been requested in the February 2021 update to the capital project list.

General Notes – Capital

Capital Facilities – Capital pays for minor works on state owned facilities as well as capital projects for new facilities and renovations of existing facilities.

PROGRAM E – TRANSPORTATION EQUIPMENT FUND (TEF)-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
E1-Transportation Equipment Fund (TEF)	115,769	91,311	24,459	21%	\$163,072	163,072	44%	25%
410-Transportation Equipment Fund	115,769	91,311	24,459	21%	\$163,072	163,072	44%	25%
Grand Total	\$115,769	\$91,311	\$24,459	21%	\$163,072	\$163,072	44%	25%

Program Highlights – Operating

At the close of the sixth quarter, Transportation Equipment Fund (TEF) expenditures were \$24.5 million (or 21%) less than its planned allotments to date. This variance is primarily due to fewer capital expenditures for equipment and vacancies due to the hiring and equipment purchasing freeze implemented by OFM as well as a reduction in the consumption and price of fuel. Much of the reduced fuel consumption is attributable to the temporary suspension of construction and roadway maintenance work due to the Governor's Stay Home, Stay Healthy order in response to the COVID-19 crisis.

Equipment purchases have been frozen; however, an exemption request to purchase some needed critical equipment was approved by OFM in November 2020, and in January 2021 an adjustment was processed to reduce TEF allotments by \$10.3 million (and amounts in other programs for reduced TEF rent). The \$10.3 amount reflects the originally planned equipment purchases that will not occur this biennium. The department expects to be able to have all updated planned equipment purchases ordered prior to the end of the biennium.

General Notes – Operating

TEF has a list of encumbered equipment and fuel sites. Equipment orders generally require a long lead-time as the equipment is built or assembled when ordered, rather than ordered from many readily available models. Delays in receipt of equipment are common and allotment adjustments are typically processed to reflect updated delivery schedules when known. As of the end of the sixth quarter, the equipment encumbrance for equipment on order but not received was \$12.9 million. If this ordered equipment had been received and paid for during this quarter, the \$24.5 million variance would be \$12.9 million less.

PROGRAM F – AVIATION-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
F1-Aviation Management & Support	1,593	1,220	373	23%	2,303	2,226	45%	25%
039-Aeronautics	1,593	1,220	373	23%	2,303	2,226	45%	25%
F2-Airport Investment	3,155	2,471	684	22%	5,923	5,554	56%	25%
039-Aeronautics	3,155	2,471	684	22%	5,923	5,554	56%	25%
F3-State Airports	564	499	65	11%	757	714	30%	25%
039-Aeronautics	564	499	65	11%	757	714	30%	25%
F4-Aviation Emergency Services	268	256	12	5%	377	360	29%	25%
039-Aeronautics	268	256	12	5%	377	360	29%	25%
F5-Aviation Planning	970	890	80	8%	1,486	1,246	29%	25%
039-Aeronautics	970	890	80	8%	1,486	1,246	29%	25%
Grand Total	\$6,551	\$5,336	\$1,214	19%	\$10,846	\$10,099	47%	25%

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
F1-Aviation Management & Support	50	9	41	81%	60	60	84%	25%
21G-WA State Aviation Account	50	9	41	81%	60	60	84%	25%
Grand Total	\$50	\$9	\$41	81%	\$60	\$60	84%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	1,218	542	676	56%	3,043	3,043	82%	25%
Local	47	26	21	44%	60	60	56%	25%
State	5,286	4,768	518	10%	7,743	6,996	32%	25%
Grand Total	\$6,551	\$5,336	\$1,214	19%	\$10,846	\$10,099	47%	25%

Program Highlights – Operating

Program expenditures were \$1.2 million (or 19%) less than planned allotments to date, due to longer lead-time or delays for the airport aid grant program, the pavement condition study, and the Methow Valley helipad. Aviation Management and Support (F1) expenditures for the Commercial Aviation Coordinating Commission were also lower than expected.

Proposed legislation would extend the Commission into 2021-23. Also, the Governor's proposed 2021-23 budget asks to reappropriate funding for these items, mostly in subprogram F2 (Airport Investment).

The Aviation Emergency/Emerging Technology subprogram underspending was due to vacancy in the first fiscal year, but position has been filled and work is expected to continue to accelerate through the end of the second fiscal year.

General Notes – Operating

\$200,000 of airport aid grant was placed in reserve status in October 2020, to help maintain an adequate fund balance in the Aeronautics Account-State.

PROGRAM F – AVIATION-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
F7-Aviation Capital	75	-	75	0%	75	75	100%	25%
057-State Building Construction	75	-	75	0%	75	75	100%	25%
Grand Total	\$75	\$0	\$75	0%	\$75	\$75	100%	25%

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
F7-Aviation Capital	3,089	541	2,547	82%	5,000	5,000	89%	25%
22L-Pub Use Gen Aviation Loan	3,089	541	2,547	82%	5,000	5,000	89%	25%
Grand Total	3,089	541	2,547	82%	5,000	5,000	89%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
State	75	-	75	0%	75	75	100%	25%
Grand Total	\$75	\$0	\$75	0%	\$75	\$75	100%	25%

Program Highlights – Capital

The variance in the State Building Construction Account is due to the Telford Helipad Project being delayed due to the Governor's Stay Home, Stay Healthy order.

Of the \$4.7 million available for aviation revitalization loans (remainder for administrative costs), all funds have been awarded, for 11 projects total. Despite the funds being obligated, not all the airports have requested draws against their loan. The program anticipates seven of the 11 projects completed by the end of the biennium and the remaining four projects in the 2021-23 biennium. Expenditures for the loan program will catch up somewhat in subsequent

quarters, and because the funds are non-appropriated any unused balance from the current biennium will remain in the account balance in the 2021-23 biennium without reappropriation.

General Notes – Capital

An allotment adjustment was made in January 2021 to align with the updated spending plan for the Aviation Loan Program, this adjustment will be reflected in the next quarterly financial report

PROGRAM H – DELIVERY, MANAGEMENT & SUPPORT

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Millions

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
H1-HQ Program Delivery Management & Support	14,375	13,862	512	4%	20,291	19,200	28%	25%
108-Motor Vehicle	14,375	13,862	512	4%	20,291	19,200	28%	25%
H2-Regional Program Delivery Management & Support	12,098	12,028	69	1%	17,114	16,189	26%	25%
108-Motor Vehicle	12,098	12,028	69	1%	17,114	16,189	26%	25%
H3-Environmental Delivery Management & Support	15,171	14,573	597	4%	23,141	20,509	29%	25%
108-Motor Vehicle	14,913	14,328	584	4%	22,883	20,251	29%	25%
218-Multimodal Transportation	258	245	13	5%	258	258	5%	25%
Grand Total	\$41,643	\$40,464	\$1,179	3%	\$60,546	\$55,898	28%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	5	2	3	50%	500	8	70%	25%
State	41,638	40,461	1,176	3%	60,046	55,890	28%	25%
Grand Total	\$41,643	\$40,464	\$1,179	3%	\$60,546	\$55,898	28%	25%

Dollars in Millions

Program Highlights – Operating

At the close of the sixth quarter, expenditures were \$1.2 million (or 3%) less than planned allotments to date. The Environmental Services Office's (ESO) share of the variance was \$597,000.

Agreements with the Department of Ecology and the Department of Fish and Wildlife were underspent due to personnel availability, suspended fieldwork, and in-process invoices.

The ESO's focus is on delivering a larger fish passage program to satisfy the United States vs. Washington State (culverts/phase II) case. Many of ESO's subject matter experts support the Olympic and Northwest Regions and the Hydraulics Office. This includes the oversight of contracts with the private sector for preliminary design work, and agreements with the Departments of Fish and Wildlife, and Ecology for habitat assessments, design reviews, and allowing liaisons.

ESO hired new liaisons to speed up environmental approvals and to coordinate the activities needed to program and deliver projects in the 2021-23 biennium.

Other highlights include support for the department's strategic plan goals of inclusion, workforce development and practical solutions. ESO is collaborating with the Federal Highway Administration, the department's Office of Equal Opportunity, and Regions and Modes to ensure compliance with Title VI and Environmental Justice requirements. The ESO has established a new formal mentorship program for the Development Division, which is going well.

PROGRAM I – IMPROVEMENTS-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
I1-Mobility Improvements	1,601,095	1,180,862	420,233	26%	2,776,647	2,590,920	54%	25%
09H-Transportation Partnership	265,455	229,157	36,298	14%	343,087	343,087	33%	25%
108-Motor Vehicle	67,896	38,830	29,066	43%	131,222	131,222	70%	25%
20H-Connecting Washington	1,140,858	800,817	340,041	30%	2,085,026	1,899,299	58%	25%
215-Special Category "C"	23,819	15,242	8,577	36%	36,134	36,134	58%	25%
218-Multimodal Transportation	3,000	3,520	(520)	(17%)	3,853	3,853	9%	25%
535-AWV Replacement Project	74,727	68,901	5,826	8%	77,956	77,956	12%	25%
550-Transportation 2003 (Nickel)	6,893	8,539	(1,646)	(24%)	9,342	9,342	9%	25%
595-I405/SR167 Express Toll Lanes	18,447	15,857	2,590	14%	90,027	90,027	82%	25%
I2-Safety Improvements	110,169	85,750	24,419	22%	132,433	132,433	35%	25%
09H-Transportation Partnership	3,416	700	2,716	80%	4,435	4,435	84%	25%
108-Motor Vehicle	71,654	60,727	10,927	15%	83,986	83,986	28%	25%
20H-Connecting Washington	35,099	24,324	10,775	31%	44,012	44,012	45%	25%
I3-Economic Initiatives Improvements	29,168	31,395	(2,227)	(8%)	53,324	53,324	41%	25%
09H-Transportation Partnership	13,405	12,267	1,138	8%	15,910	15,910	23%	25%
108-Motor Vehicle	1,091	627	464	43%	3,385	3,385	81%	25%
20H-Connecting Washington	14,661	18,491	(3,830)	(26%)	34,018	34,018	46%	25%
550-Transportation 2003 (Nickel)	11	10	1	14%	11	11	14%	25%
I4-Environmental Retrofit Improvements	197,794	192,995	4,799	2%	293,946	293,946	34%	25%
09H-Transportation Partnership	15,634	10,222	5,412	35%	22,187	22,187	54%	25%
108-Motor Vehicle	59,624	74,998	(15,374)	(26%)	78,534	78,534	5%	25%
20H-Connecting Washington	121,840	107,382	14,458	12%	192,149	192,149	44%	25%
550-Transportation 2003 (Nickel)	696	393	303	43%	1,076	1,076	63%	25%
I5-Support Activities Budgeted	22,215	20,033	2,182	10%	27,677	27,677	28%	25%
108-Motor Vehicle	22,215	20,033	2,182	10%	27,677	27,677	28%	25%
Grand Total	\$1,960,441	\$1,511,036	\$449,405	23%	\$3,284,027	\$3,098,300	51%	25%

PROGRAM I – IMPROVEMENTS-CAPITAL (CONTINUED)

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
I6-R Improvements	32,158	29,244	2,914	9%	\$66,092	66,092	56%	25%
784-Miscellaneous Transportation Programs	32,158	29,244	2,914	9%	\$66,092	66,092	56%	25%
Grand Total	\$32,158	\$29,244	\$2,914	9%	\$66,092	\$66,092	56%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	113,982	122,378	(8,396)	(7%)	151,857	151,857	19%	25%
Local	49,491	41,503	7,988	16%	70,404	70,404	41%	25%
State	1,796,968	1,347,155	449,813	25%	3,061,766	2,876,039	53%	25%
Grand Total	\$1,960,441	\$1,511,036	\$449,405	23%	\$3,284,027	\$3,098,300	51%	25%

Program Highlights – Capital

Fish Passage: At the close of the sixth quarter, the program is currently on track to deliver \$275 million of fish passage design and construction this biennium.

I-976: The legislature assumed \$323 million of spending underruns for the biennium in the 2020 supplemental budget, without naming specific projects. This makes it challenging to understand the legislature's delivery expectations. Additionally, while many of the projects paused/delayed under the Governor's budget request have moved forward, many will not advance back to their original advertisement dates.

Connecting Washington Delivery: At the close of the sixth quarter, 22 individual Connecting Washington projects were under construction; being \$3.2 billion in total project costs.

Nickel/TPA Delivery: The Nickel and TPA programs are largely completed. Notable projects

with significant remaining expenditures include AWW, Snoqualmie Pass, and Tacoma HOV.

General Notes – Capital

Program delivery disruptions due to the Covid-19 pandemic resulted in lower-than-expected overall program expenditures in state funds, than appropriated funding levels. The program will be providing an allotment update in the seventh quarter that will reflect a reduced expenditure level for prior months and throughout the remainder of the biennium.

Sixth quarter report variances are based on appropriation levels set in the 2020 supplemental budget. The biennium-to-date allotment variance, at the end of the quarter, including non-appropriated funding, stood at \$452 million. Most of this variance is in the Mobility subprogram, Connecting Washington Account.

PROGRAM K – PUBLIC PRIVATE PARTNERSHIPS- OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
K2-Public - Private Partnerships	969	831	138	14%	4,304	3,088	73%	25%
108-Motor Vehicle	497	547	(50)	(10%)	670	654	16%	25%
20J-Electric Vehicle Account	60	-	60	0%	2,000	2,000	100%	25%
218-Multimodal Transportation	412	284	128	31%	1,634	434	34%	25%
Grand Total	\$969	\$831	\$138	14%	\$4,304	\$3,088	73%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
State	969	831	138	14%	4,304	3,088	73%	25%
Grand Total	\$969	\$831	\$138	14%	\$4,304	\$3,088	73%	25%

Program Highlights – Operating

At the close of the sixth quarter, program expenditures were \$138,000 (or 14%) less than planned allotments to date. A need to move Electric Vehicle funds into reserve status is the cause of the underspending to-date, resulting in a 73% remaining allotment for the biennium. The Governor's budget proposal (errata version) assumes these grant funds will be made available again next biennium.

Motor Vehicle Funds used for administrative costs are overspent due to the underlying program being underfunded. The overspend will be corrected using grant funds, as up to 10% of grant funds can be used to cover administrative overhead.

General Notes

After the close of the sixth quarter, \$1.9 million in Electric Vehicle funds and \$84,000 Multimodal funds were moved into reserve status in the January 2021 allotment adjustments. These adjustments will result in expenditures more closely tracking allotments and will be reflected in the seventh quarter financial report.

PROGRAM M – HIGHWAY MAINTENANCE & OPERATIONS- OPERATING

Allotments vs. Expenditures through by Subprogram and Fund

Appropriated Only

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
M1-Maintenance Management& Support	26,943	25,302	1,641	6%	39,331	38,300	34%	25%
108-Motor Vehicle	26,943	25,302	1,641	6%	39,331	38,300	34%	25%
M2-Maintenance on State System	319,645	303,146	16,499	5%	468,233	446,412	32%	25%
108-Motor Vehicle	305,897	290,691	15,205	5%	448,172	427,042	32%	25%
16J-SR 520 Corridor	3,072	2,918	154	5%	4,447	4,447	34%	25%
511-Tacoma Narrows Toll Bridge	1,095	1,011	84	8%	1,549	1,549	35%	25%
535-AWV Replacement Project	6,666	5,199	1,467	22%	9,537	8,846	41%	25%
595-I405/SR167 Express Toll Lanes	2,915	3,327	(412)	(14%)	4,528	4,528	27%	25%
M5-Inventory & Stores Admin	4,030	3,863	167	4%	6,011	5,242	26%	25%
108-Motor Vehicle	4,030	3,863	167	4%	6,011	5,242	26%	25%
M6-Undistributed - Inventory Susp	-	401	(401)	0%	-	-	0%	25%
108-Motor Vehicle	-	401	(401)	0%	-	-	0%	25%
Grand Total	\$350,618	\$332,712	\$17,906	5%	\$513,575	\$489,954	32%	25%

Dollars in Thousands

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
M2-Maintenance on State System	321	410	(89)	(28%)	\$546	546	25%	25%
784-Miscellaneous Transportation Programs	321	410	(89)	(28%)	\$546	546	25%	25%
M3-Maintenance Sales and Services to Others	2,850	2,458	392	14%	\$3,700	3,700	34%	25%
784-Miscellaneous Transportation Programs	2,850	2,458	392	14%	\$3,700	3,700	34%	25%
Grand Total	\$3,171	\$2,868	\$303	10%	\$4,246	\$4,246	32%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	-	1,140	(1,140)	0%	7,000	4,000	72%	25%
State	350,618	331,573	19,046	5%	506,575	485,954	32%	25%
Grand Total	\$350,618	\$332,712	\$17,906	5%	\$513,575	\$489,954	32%	25%

PROGRAM M – HIGHWAY MAINTENANCE & OPERATIONS- OPERATING (CONTINUED)

Program Highlights - Operating

At the close of the sixth quarter, Highway Maintenance and Operations expenditures were \$17.9 million (or 5%) less than allotments to date.

Most of this was due to slower spending in Maintenance on State System (M2). During the fourth quarter, the Governor issued a Stay Home, Stay Healthy order in response to the COVID-19 pandemic. Following this order, WSDOT suspended most roadway maintenance work. Most roadway maintenance work has recommenced. The program also requested and received OFM approval to hire 286 predominately non-permanent staff to fill vacancies need to complete winter maintenance work.

For Maintenance Management and Support (M1), spending was under allotments due to lower-than-expected billings for local government assessments. Billings from locals have been either late, incorrect, or both. The invoices must be corrected before they can be paid. Corrected invoices have been requested, however, this process is taking longer than expected. Once accurate invoices are received, they will be paid, and this issue will be resolved.

Federal expenditures appear because these charges are for reimbursement for disasters. All the federal allotments for disasters are in month 24 because the department does not know when a disaster will happen or be approved for reimbursement.

Notable toll-fund-related variances (spending under plan) for Maintenance on State Systems (M2) were due to difficulty hiring/retaining staff for the SR 99 Tunnel. The approved exemption from the hiring freeze referenced above included 6.5 FTEs to be hired for the SR 99 Tunnel.

General Notes – Operating

Undistributed Inventory Suspense (M6) is a cost recovery center for sign manufacturing. The expenditures in this subprogram will be transferred to the project or region that ordered the signage.

The program processed an allotment adjustment in November to move \$11.4 million to reserve status, which is reflected in this Quarterly Financial Report

PROGRAM P – PRESERVATION PROGRAM-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
P0-Undistributed Costs - Payroll/Legal	-	6,479	(6,479)	0%	-	-	0%	25%
108-Motor Vehicle	-	6,479	(6,479)	0%	-	-	0%	25%
P1-Roadway Preservation	249,808	219,123	30,685	12%	313,094	313,094	30%	25%
09H-Transportation Partnership	3,679	2,492	1,187	32%	3,798	3,798	34%	25%
108-Motor Vehicle	207,634	182,939	24,695	12%	257,206	257,206	29%	25%
20H-Connecting Washington	24,281	21,082	3,199	13%	34,198	34,198	38%	25%
550-Transportation 2003 (Nickel)	14,214	12,610	1,604	11%	17,892	17,892	30%	25%
P2-Structures Preservation	209,757	162,097	47,660	23%	340,995	316,173	49%	25%
09H-Transportation Partnership	9,266	5,678	3,588	39%	12,420	12,420	54%	25%
108-Motor Vehicle	128,352	107,898	20,454	16%	205,158	205,158	47%	25%
16J-SR 520 Corridor	175	121	54	31%	326	326	63%	25%
20H-Connecting Washington	66,190	47,940	18,250	28%	114,731	89,909	47%	25%
511-Tacoma Narrows Toll Bridge	5,766	458	5,308	92%	8,350	8,350	95%	25%
535-AWV Replacement Project	8	2	6	76%	10	10	81%	25%
P3-Other Facilities Preservation	76,067	61,206	14,861	20%	103,152	103,152	41%	25%
097-Recreational Vehicle (R.V.)	2,265	1,493	772	34%	2,971	2,971	50%	25%
09H-Transportation Partnership	3,649	2,649	1,000	27%	4,030	4,030	34%	25%
108-Motor Vehicle	42,771	40,623	2,148	5%	53,834	53,834	25%	25%
20H-Connecting Washington	27,382	16,148	11,234	41%	39,299	39,299	59%	25%
595-I405/SR167 Express Toll Lanes	-	292	(292)	0%	3,018	3,018	90%	25%
P4-Support Activities Budgeted	53,186	38,725	14,462	27%	80,803	78,415	51%	25%
108-Motor Vehicle	41,457	29,486	11,971	29%	64,401	62,013	52%	25%
20H-Connecting Washington	11,729	9,239	2,490	21%	16,402	16,402	44%	25%
P5-Undistributed. Costs -Mats/Print/Geo	-	2,940	(2,940)	0%	-	-	0%	25%
108-Motor Vehicle	-	2,940	(2,940)	0%	-	-	0%	25%
P8-Undistr Costs-rt Activity	-	583	(583)	0%	-	-	0%	25%
108-Motor Vehicle	-	583	(583)	0%	-	-	0%	25%
Grand Total	\$588,818	\$491,153	\$97,666	17%	\$838,044	\$810,834	39%	25%

PROGRAM P – PRESERVATION PROGRAM-CAPITAL

(CONTINUED)

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
P9-Sales & Service to Others	13,995	13,460	535	4%	\$17,085	17,085	21%	25%
784-Miscellaneous Trans Programs	13,995	13,460	535	4%	\$17,085	17,085	21%	25%
Grand Total	\$13,995	\$13,460	\$535	4%	\$17,085	\$17,085	21%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	363,333	329,451	33,882	9%	490,744	490,744	33%	25%
Local	6,542	2,790	3,752	57%	7,408	7,408	62%	25%
State	218,943	158,910	60,034	27%	339,892	312,682	49%	25%
Grand Total	\$588,818	\$491,150	\$97,668	17%	\$838,044	\$810,834	39%	25%

Program Highlights – Capital

Covid-19

At the close of the sixth quarter program expenditures were 17% less than planned allotments to date. Lower-than-expected state expenditures were due to program delivery disruptions because of the Covid-19 pandemic. The program will be providing an allotment update in the seventh quarter that will reflect a reduced expenditure level for prior months and throughout the remainder of the biennium.

Key State Funds Delivery

Almost 77% of Connecting Washington, 46% of Transportation Partnership Account, and 99% of Motor Vehicle Account state funding in the preservation program is for programmatic activities, including program support. These funds are actively managed across the program so that expenditures meet appropriation levels, all available federal obligation authority is utilized, while delivering high priority preservation projects. This may lead to biennium-to-date variances by subprogram

allotments while delivering to the overall program appropriation. Any biennium-to-date underruns will be compensated for through future program adjustments.

General Notes – Capital

The sixth quarter financial report variances are based on budget appropriation levels set in the 2020 supplemental budget. The biennium-to-date allotment variance at the end of the quarter stood at \$108 million, with much of this variance in the Connecting Washington and Motor Vehicle-Federal Accounts.

PROGRAM Q – TRAFFIC OPERATIONS-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Q1-Traffic Management & Support	2,755	2,487	268	10%	3,875	3,744	34%	25%
108-Motor Vehicle	2,755	2,487	268	10%	3,875	3,744	34%	25%
Q2-Traffic Operations Activities	53,845	45,711	8,134	15%	74,778	72,485	37%	25%
108-Motor Vehicle	53,777	45,711	8,066	15%	74,636	72,343	37%	25%
16J-SR 520 Corridor	26	-	26	0%	53	53	100%	25%
511-Tacoma Narrows Toll Bridge	15	-	15	0%	31	31	100%	25%
535-AWV Replacement Project	12	-	12	0%	26	26	100%	25%
595-I405/SR167 Express Toll Lanes	15	-	15	0%	32	32	100%	25%
Q4-Wireless Communications	-	3,958	(3,958)	0%	-	-	0%	25%
108-Motor Vehicle	-	3,958	(3,958)	0%	-	-	0%	25%
Grand Total	\$56,600	\$52,156	\$4,444	8%	\$78,653	\$76,229	32%	25%

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Q2-Traffic Operations Activities	2,541	2,071	470	18%	\$3,370	3,370	39%	25%
784-Miscellaneous Trans Programs	2,541	2,071	470	18%	\$3,370	3,370	39%	25%
Grand Total	\$2,541	\$2,071	\$470	18%	\$3,370	\$3,370	39%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	1,734	1,253	481	28%	2,050	2,050	39%	25%
Local	108	174	(66)	(61%)	250	250	30%	25%
State	54,758	50,729	4,029	7%	76,353	73,929	31%	25%
Grand Total	\$56,600	\$52,156	\$4,444	8%	\$78,653	\$76,229	32%	25%

Program Highlights – Operating

During the sixth quarter, while under the Governor's Stay Home, Stay Healthy order in response to the COVID-19 pandemic, Headquarters and Region Traffic offices continued to provide essential services, with many staff working from home. The Traffic Management Center Operations and Incident Response teams continue to provide necessary activities to keep our highway systems operating.

General Notes – Operating

At the close of the sixth quarter, program expenditures were 8% below planned allotments to date. A prior allotment adjustment moved unused allotments from prior months into October. Spending plans were re-aged and future adjustments will move these allotments out to ensuing months, which will reduce this variance.

PROGRAM Q – TRAFFIC OPERATIONS-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Q3-Special Advanced Tech Projects	8,989	5,045	3,944	44%	14,562	14,562	65%	25%
108-Motor Vehicle	8,937	4,945	3,992	45%	14,462	14,462	66%	25%
595-I405/SR167 Express Toll Lanes	52	100	(48)	(92%)	100	100	0%	25%
Grand Total	\$8,989	\$5,045	\$3,944	44%	\$14,562	\$14,562	65%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	3,189	884	2,305	72%	6,137	6,137	86%	25%
Local	198	84	114	58%	579	579	86%	25%
State	5,602	4,078	1,524	27%	7,846	7,846	48%	25%
Grand Total	\$8,989	\$5,045	\$3,944	44%	\$14,562	\$14,562	65%	25%

Program Highlights – Capital

The capital program variance was a result of several factors including, longer than anticipated procurement times, project advertisement delays, and coordination challenges with other projects that focused workforce away from Program a lack of ability to hire consultants.

General Notes – Capital

At the close of the sixth quarter the main federal grants had not been spent. The program anticipates projects will be implemented as major weather affects the state less.

PROGRAM S – TRANSPORTATION MANAGEMENT & SUPPORT-OPERATING

Allotments vs. Expenditures by Subprogram and Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
S1-Executive Management & Support	20,604	18,535	2,069	10%	29,806	27,970	34%	25%
108-Motor Vehicle	20,227	18,157	2,069	10%	29,298	27,462	34%	25%
218-Multimodal Transportation	377	377	(0)	0%	508	508	26%	25%
S2-Finance & Administration	8,237	7,941	296	4%	11,990	11,122	29%	25%
108-Motor Vehicle	7,774	7,479	296	4%	10,835	9,967	25%	25%
16J-SR 520 Corridor	-	-	-	0%	199	199	100%	25%
218-Multimodal Transportation	463	463	0	0%	621	621	26%	25%
511-Tacoma Narrows Toll Bridge	-	-	-	0%	116	116	100%	25%
535-AWV Replacement Project	-	-	-	0%	100	100	100%	25%
595-I405/SR167 Express Toll Lanes	-	-	-	0%	119	119	100%	25%
Grand Total	\$28,841	\$26,476	\$2,365	8%	\$41,796	\$39,092	32%	25%

Allotments vs. Expenditures by Subprogram and Fund Source

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
S1-Executive Management & Support	76	74	3	3%	80	80	8%	25%
784-Miscellaneous Trans Programs	76	74	3	3%	80	80	8%	25%
S2-Finance & Administration	(0)	0	(0)	0%		0	0%	25%
410-Transportation Equipment Fund	(0)	0	(0)	0%		0	0%	25%
Grand Total	\$76	\$74	\$3	3%	\$80	\$80	8%	25%

Allotments vs. Expenditures by Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	1,035	918	117	11%	1,380	1,380	33%	25%
Local	377	2	375	100%	502	502	100%	25%
State	27,429	25,556	1,873	7%	39,914	37,210	31%	25%
Grand Total	\$28,841	\$26,476	\$2,365	8%	\$41,796	\$39,092	32%	25%

PROGRAM S – TRANSPORTATION MANAGEMENT & SUPPORT-OPERATING (CONTINUED)

Program Highlights – Operating

At the close of the sixth quarter, program expenditures were \$2.4 million (or, 8%) less than planned allotments to date; approximately 80% of which was in state funds with the remaining 20% split between local and federal funding.

Much of the \$1.9 million state fund underspending was due to vacancies held since fall of 2019. With the Governor's directive to agencies to freeze new hires, and limited exceptions, it is likely that many of these positions will remain vacant for the rest of the biennium.

Human Resources had the largest variance, primarily due to vacancies. There was also a large variance in the Office of Equal Opportunity (OEO), Women and Minorities Grant Program. Most Women and Minorities Grant Program funds are under contract, but grantees have not spent funds as planned. Grantees do not need to supply detailed spending plans; therefore, funds are allotted evenly throughout the biennium. The contracts specify that funding must be spent by June 30, 2021, so it is expected that this variance will decrease as the biennium progresses.

Most local funding authority for Program S was provided for work zone safety grants. However, to date the department has not received any local funds or signed any contracts for this purpose.

PROGRAM T – TRANSPORTATION PLANNING, DATA & RESEARCH-OPERATING

Allotments vs. Expenditures through by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
T2-Planning	31,873	29,984	1,889	6%	52,661	47,019	36%	25%
108-Motor Vehicle	29,091	27,120	1,972	7%	45,487	40,498	33%	25%
16J-SR 520 Corridor	-	-	-	0%	207	-	0%	25%
218-Multimodal Transportation	2,656	2,743	(87)	(3%)	3,619	3,521	22%	25%
511-Tacoma Narrows Toll Bridge	-	-	-	0%	121	-	0%	25%
535-AWV Replacement Project	-	-	-	0%	104	-	0%	25%
595-I405/SR167 Express Toll Lanes	125	121	5	4%	3,123	3,000	96%	25%
T3-Research and Library Services	8,214	6,207	2,007	24%	13,215	12,935	52%	25%
108-Motor Vehicle	8,107	6,207	1,900	23%	12,659	12,601	51%	25%
16J-SR 520 Corridor	107	-	107	0%	556	333	100%	25%
T6-Pass Through Funds	3,593	3,392	201	6%	5,400	5,400	37%	25%
108-Motor Vehicle	3,593	3,392	201	6%	5,400	5,400	37%	25%
Grand Total	\$43,679	\$39,583	\$4,097	9%	\$71,276	\$65,353	39%	25%

Allotments vs. Expenditures through by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
T6-Pass Through Funds	14,636	14,193	443	3%	\$20,000	20,000	29%	25%
784-Miscellaneous Trans Programs	14,636	14,193	443	3%	\$20,000	20,000	29%	25%
Grand Total	\$14,636	\$14,193	\$443	3%	\$20,000	\$20,000	29%	25%

Allotments vs. Expenditures through by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	22,997	19,785	3,213	14%	38,194	35,592	44%	25%
Local	1,214	983	231	19%	1,674	1,576	38%	25%
State	19,468	18,816	652	3%	31,408	28,185	33%	25%
Grand Total	\$43,679	\$39,583	\$4,097	9%	\$71,276	\$65,353	39%	25%

PROGRAM T – TRANSPORTATION PLANNING, DATA & RESEARCH-OPERATING (CONTINUED)

Program Highlights – Operating

At the close of the sixth quarter, program expenditures were \$4.1 million less than allotments to date, primarily attributed to Multimodal Planning and Research and Library Services.

There is currently a delay in delivering the I-405 and State Route (SR) 167 High Occupancy Toll Lanes project. The Master Plan for SR 167 was due to kick-off December 2019. The RFQ for this project was approved in November 2019, to be followed by an RFP and work to begin July 2020. However, due to the uncertainties earlier in the biennium, related to the impacts of I-976, the project was delayed and continues to be delayed due to the impacts of COVID-19. If the Master Plan for SR 167 is to continue into the 2021-23, the funding would need to be reauthorized by the 2021 Legislature.

External partners are expressing uncertainty of revenue shortfalls they are experiencing with their local budgets and their ability to take part in collaborating on planning projects. This is most concerning to our region planning offices that count on external partners to help deliver planned studies. This dynamic may result in greater variances in the future since without external participation, some of these collaborative planning studies would not be completed within the biennium as planned.

Research and Library Services Pooled Fund projects have experienced delays due to COVID-19. Nine contract extensions have been issued since July 2020. The largest of the Pooled Fund projects, MASH Implementation, has seen both COVID-19 delays for some tasks as well as two test failures which caused redesigning and rescheduling crash tests. Furthermore, the crash testing site is unavailable until January 2021, further delaying the continuance of MASH Implementation tasks and studies.

Pass-through funds associated with the various Regional Transportation Planning Offices (RTPO) are underspent through December 2020 primarily due to deviation in quarterly planned expenditures from both the Puget Sound Regional Council and Peninsula RTPOs.

General Notes – Operating

- Subprogram T2 submitted an allotment adjustment reduction for \$1.7 million for actual savings incurred and future savings that include travel, goods/services, and salary/benefits. These reductions were placed in reserve as instructed by the Office of Financial Management and is reflected this quarter.
- The close management of the Multimodal Planning Program has yielded reduced variances in almost all the subprogram's activities.
- Overarching concerns for the program that may result in greater variances in the future are the directed hiring and consultant freezes. As vacancies occur and consultant contracts are sent for approval, valuable time may be lost in delivering the Multimodal Planning Program. At the close of the sixth quarter, the overall program variance was \$4.1 million, primarily attributed to Multimodal Planning and Research and Library Services.

PROGRAM U – CHARGES FROM OTHER AGENCIES- OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
U0-Charges/Payments to Other Agencies	68,375	68,579	(204)	0%	82,856	82,856	17%	25%
108-Motor Vehicle	65,713	67,153	(1,440)	(2%)	79,474	79,474	16%	25%
16J-SR 520 Corridor	-	-	-	0%	205	205	100%	25%
218-Multimodal Transportation	2,662	1,426	1,236	46%	2,833	2,833	50%	25%
511-Tacoma Narrows Toll Bridge	-	-	-	0%	120	120	100%	25%
535-AWV Replacement Project	-	-	-	0%	102	102	100%	25%
595-I405/SR167 Express Toll Lanes	-	-	-	0%	122	122	100%	25%
Grand Total	\$68,375	\$68,579	(\$204)	0%	\$82,856	\$82,856	17%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
State	68,375	68,579	(204)	0%	82,856	82,856	17%	25%

Program Highlights - Operating

Program U spending was on target at the close of the sixth quarter. However, future unfunded indemnity and defense costs will cause the program to be overspent, as litigation is settled, and expenses come due.

Spending is expected to exceed the program's budget by up to \$5 million this biennium, so the department has requested this amount in its 2021 second supplemental budget request. This would cover both a potential gap insurance payment, and older Washington State Ferries (WSF) legal costs that predate the movement of WSF lawsuits into the state's self-insurance liability program.

General Notes – Operating

The program allotted toll funds in the last month of the biennium until direction on how to apply these funds toward program costs is given. This causes both the allotments and expenditures to show as zero through fifth quarter.

The variances in the Motor Vehicle and Multimodal accounts are simple technical issues that will be fixed by next quarter.

PROGRAM V – PUBLIC TRANSPORTATION-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Subprogram and Fund	To-date				Total Biennium			
	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
V1-Public Trans Administration	2,597	1,739	858	33%	4,023	3,864	55%	25%
218-Multimodal Transportation	2,597	1,739	858	33%	4,023	3,864	55%	25%
V2-Public Transportation	50,056	51,464	(1,408)	(3%)	75,545	73,892	30%	25%
218-Multimodal Transportation	50,056	51,464	(1,408)	(3%)	75,545	73,892	30%	25%
V4-Rural Mobility Grant Programs	21,023	16,137	4,886	23%	32,223	32,223	50%	25%
153-Rural Mobility Grant Program	21,023	16,137	4,886	23%	32,223	32,223	50%	25%
V5-Regional Mobility Grant	79,893	58,391	21,502	27%	120,746	120,746	52%	25%
11B-Regional Mobility Grant Program	59,295	37,794	21,501	36%	88,698	88,698	57%	25%
218-Multimodal Transportation	20,598	20,597	1	0%	32,048	32,048	36%	25%
V7-Statewide Commute Trip Reduction	6,502	4,970	1,532	24%	8,939	8,939	44%	25%
045-State Vehicle Parking	586	304	282	48%	784	784	61%	25%
218-Multimodal Transportation	5,916	4,666	1,250	21%	8,155	8,155	43%	25%
V8-Public Transportation Safety & Security	813	1,046	(233)	(29%)	1,186	1,186	12%	25%
218-Multimodal Transportation	813	1,046	(233)	(29%)	1,186	1,186	12%	25%
V9-Transportation Demand Management Core Program	1,287	1,023	264	21%	5,072	1,287	21%	25%
218-Multimodal Transportation	1,287	1,023	264	21%	5,072	1,287	21%	25%
Grand Total	\$162,171	\$134,769	\$27,402	17%	\$247,734	\$242,137	44%	25%

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Subprogram and Fund	To-date				Total Biennium			
	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
V2-Public Transportation	63,402	57,797	5,605	9%	\$99,222	99,222	42%	25%
784-Miscellaneous Trans Programs	63,402	57,797	5,605	9%	\$99,222	99,222	42%	25%
Grand Total	\$63,402	\$57,797	\$5,605	9%	\$99,222	\$99,222	42%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Fund Source	To-date				Total Biennium			
	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	2,595	2,256	339	13%	3,574	3,574	37%	25%
Local	50	37	13	25%	100	100	63%	25%
State	159,526	132,475	27,051	17%	244,060	238,463	44%	25%
Grand Total	\$162,171	\$134,769	\$27,402	17%	\$247,734	\$242,137	44%	25%

Program Highlights – Operating

At the close of the sixth quarter, program expenditures were \$27.4 million (or, 17%) less than allotments to date, mostly in Regional Mobility Grant funding. The underspending was driven by work stoppages and slowdowns in the Regional Mobility Grant Program, affected by bus manufacturers' ability to produce and deliver vehicles as originally planned for this biennium because of the Governor's Stay Home, Stay Healthy order in response to the

COVID-19 pandemic. Similarly, activities on construction projects were slowed down or completely stopped while reopening plans were developed.

PROGRAM W – WASHINGTON STATE FERRIES- CONSTRUCTION-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
W1-Terminal Construction	237,867	166,467	71,400	30%	305,493	305,493	46%	25%
099-Puget Sound Capital Construct	145,785	97,817	47,968	33%	186,480	186,480	48%	25%
09H-Transportation Partnership	2,111	705	1,406	67%	6,582	6,582	89%	25%
108-Motor Vehicle	6	-	6	0%	6	6	100%	25%
20H-Connecting Washington	89,965	67,945	22,020	24%	112,425	112,425	40%	25%
W2-Vessel Construction	129,603	52,437	77,166	60%	226,894	201,894	74%	25%
099-Puget Sound Capital Construct	84,037	43,250	40,787	49%	129,883	129,883	67%	25%
108-Motor Vehicle	614	-	614	0%	980	980	100%	25%
18J-Capital Vessel Replacement	44,951	9,171	35,780	80%	96,030	71,030	87%	25%
20H-Connecting Washington	1	16	(15)	(1522%)	1	1	(1522%)	25%
W3-Emergency Repairs	3,480	1,880	1,600	46%	5,357	5,357	65%	25%
099-Puget Sound Capital Construct	3,480	1,880	1,600	46%	5,357	5,357	65%	25%
Grand Total	\$370,950	\$220,784	\$150,166	40%	\$537,744	\$512,744	57%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	151,014	102,690	48,324	32%	198,688	198,688	48%	25%
Local	5,002	3,697	1,305	26%	6,779	6,779	45%	25%
State	214,934	114,395	100,539	47%	332,277	307,277	63%	25%
Grand Total	\$370,950	\$220,783	\$150,167	40%	\$537,744	\$512,744	57%	25%

Program Highlights – Capital

At the close of the sixth quarter, expenditures were \$150.1 million (or 40%) below planned allotments to date. The program requested funding to be reappropriated in the 2021-23 biennium, mainly for the vessel construction subprogram.

Terminals

The Governor's Stay Home, Stay Healthy order in response to the COVID-19 pandemic, slowed the delivery of projects including the Seattle and Mukilteo Ferry Terminals. \$31.6 million from \$48.3 million of the underspending was from federal funds. However, the Seattle and Mukilteo Terminal projects will spend the entire allotment within the 2019-21 biennium.

Vessels

Slower than planned design for the new electric ferry (144-Car Hybrid Electric Vessel), the Jumbo Mark II Hybrid Conversion #1, plus other preservation projects contributed to the underspending, of which \$16.7 million from the \$48.3 million was from federal funds.

General Notes – Capital

Jumbo Mark II Hybrid Conversion #1 has a \$35 million reimbursable grant (funding from the Volkswagen settlement) via Department of Ecology. Those expenditures will be shown by the Department of Ecology, not WSDOT.

PROGRAM X – WASHINGTON STATE FERRIES- OPERATIONS & MAINTENANCE-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
X0-Payroll Suspense	-	752	(752)	0%	-	-	0%	25%
109-Puget Sound Ferry Operations	-	752	(752)	0%	-	-	0%	25%
X1-Operations - Vessels	236,143	236,360	(217)	0%	359,333	311,654	24%	25%
109-Puget Sound Ferry Operations	236,143	236,360	(217)	0%	359,333	311,654	24%	25%
X2-Operations - Terminals	55,546	54,004	1,541	3%	79,466	74,405	27%	25%
109-Puget Sound Ferry Operations	55,546	54,004	1,541	3%	79,466	74,405	27%	25%
X3-Operations - Management & Support	22,511	21,352	1,159	5%	37,863	32,391	34%	25%
109-Puget Sound Ferry Operations	22,511	21,352	1,159	5%	37,863	32,391	34%	25%
X4-Maintenance - Vessels	39,405	35,687	3,718	9%	53,560	51,640	31%	25%
109-Puget Sound Ferry Operations	39,405	35,687	3,718	9%	53,560	51,640	31%	25%
X6-Maintenance - Terminals	18,038	17,695	343	2%	23,161	23,160	24%	25%
109-Puget Sound Ferry Operations	18,038	17,695	343	2%	23,161	23,160	24%	25%
X7-Maintenance - Management & Support	10,236	9,832	404	4%	13,494	13,363	26%	25%
109-Puget Sound Ferry Operations	10,236	9,832	404	4%	13,494	13,363	26%	25%
X8-Finance & Admin. Support	16,465	14,496	1,969	12%	24,038	22,250	35%	25%
108-Motor Vehicle	250	-	250	0%	250	250	100%	25%
109-Puget Sound Ferry Operations	16,215	14,496	1,719	11%	23,788	22,000	34%	25%
X9-Executive Management	1,611	1,358	253	16%	2,648	2,239	39%	25%
109-Puget Sound Ferry Operations	1,611	1,358	253	16%	2,648	2,239	39%	25%
Grand Total	\$399,955	\$391,536	\$8,418	2%	\$593,563	\$531,102	26%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	45,071	36,332	8,739	19%	47,170	47,170	23%	25%
Local	90	60	30	33%	121	118	49%	25%
State	354,794	355,145	(351)	0%	546,272	483,814	27%	25%
Grand Total	\$399,955	\$391,536	\$8,418	2%	\$593,563	\$531,102	26%	25%

PROGRAM X – WASHINGTON STATE FERRIES- OPERATIONS & MAINTENANCE-OPERATING (CONTINUED)

Program Highlights – Operating

At the close of the sixth quarter, expenditures were \$8.4 million (or 2%) less than allotments to-date.

Allotment reductions made in November 2020 reflect impacts from the COVID-19 pandemic, including a reduced level of service, that began in February 2020. With less ferry service, there were lower costs for training, vessel maintenance, fuel, credit cards fees, and some fleet labor and administrative costs.

Allotment adjustments also reflect additional federal authority and reduced state authority due to the addition of \$39.2 million in federal unanticipated receipts for the CARES Act, of which \$31.5 million of state fund expenditures has been reimbursed as of November 2020.

General Comments – Operating

Since March 2020, due to the Governor's Stay Home, Stay Healthy order in response to the COVID-19 pandemic, WSF has seen a substantial decline in ridership and revenues. WSF reduced service at that time and has been operating a reduced winter service schedule.

Service has been added back to the Seattle-Bainbridge and Seattle-Bremerton routes as ridership demand increased on these routes.

WSF plans to increase service in May 2021 with a modified spring schedule which is intended to run through the traditional summer season (late September). This schedule will add service to the San Juan Islands, provide a second boat on the Port Townsend-Coupeville route, and increase the number of vessels on the Fauntleroy-Vashon-Southworth route from two vessels to three vessels.

International service to Sidney, BC, Canada has been suspended due to Canada's prohibition on travel to its country due to Covid-19 pandemic.

PROGRAM Y – RAIL-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Y2-Rail Freight Operations	187	197	(10)	(5%)	264	262	25%	25%
218-Multimodal Transportation	187	197	(10)	(5%)	264	262	25%	25%
Y3-Rail Passenger Operations	34,466	30,399	4,067	12%	71,197	56,342	46%	25%
218-Multimodal Transportation	34,466	30,399	4,067	12%	71,197	56,342	46%	25%
Grand Total	\$34,653	\$30,595	\$4,058	12%	\$71,461	\$56,604	46%	25%

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Y2-Rail Freight Operations	200	135	65	32%	300	300	55%	25%
759-Miscellaneous Program	200	135	65	32%	300	300	55%	25%
Grand Total	\$200	\$135	\$65	32%	\$300	\$300	55%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	252	-	252	0%	500	500	100%	25%
Local	402	347	55	14%	717	717	52%	25%
State	33,999	30,248	3,751	11%	70,244	55,387	45%	25%
Grand Total	\$34,653	\$30,595	\$4,058	12%	\$71,461	\$56,604	46%	25%

Program Highlights – Operating

At the close of the sixth quarter, Rail – Operating expenditures were \$4 million (or 12%) less than planned allotments to date. A delay in receiving invoices from BNSF and Amtrak for the month of December attributed to one-third of the operating program's variance. The remainder was related to the Amtrak Cascades service agreement. The amount the department was obligated to pay under this agreement was unknown during the sixth quarter due to possible COVID-19 relief subsidies to Amtrak coupled with unknown levels of service through the remainder of the biennium. The Rail program will re-age the budget to correct the variance by next quarter.

General Notes – Operating

Federal funds remain fully unspent due to delays in Consolidated Rail Infrastructure and Safety Improvements (CRISI) Service Development Plan. The pandemic caused a delay in deliverables required by the federal government to start the project. The Governor's budget (errata version) assumes these funds will be re-aged into next biennium.

PROGRAM Y – RAIL-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Y4-Rail Passenger Capital	3,362	1,250	2,112	63%	33,852	33,852	96%	25%
218-Multimodal Transportation	3,362	1,250	2,112	63%	33,852	33,852	96%	25%
Y5-Rail Freight Capital	28,616	31,962	(3,346)	(12%)	61,666	52,166	39%	25%
02M-Essential Rail Assistance	410	354	56	14%	851	851	58%	25%
094-Transportation Infrastructure	7,353	6,428	925	13%	7,554	7,554	15%	25%
108-Motor Vehicle	600	-	600	0%	3,300	3,300	100%	25%
218-Multimodal Transportation	20,253	25,179	(4,926)	(24%)	49,961	40,461	38%	25%
Grand Total	\$31,978	\$33,211	(\$1,233)	(4%)	\$95,518	\$86,018	61%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent Over/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	6,773	4,990	1,783	26%	8,601	8,601	42%	25%
Local	-	-	-	0%	336	336	100%	25%
State	25,205	28,221	(3,016)	(12%)	86,581	77,081	63%	25%
Grand Total	\$31,978	\$33,211	(\$1,233)	(4%)	\$95,518	\$86,018	61%	25%

Program Highlights – Capital

At the close of the sixth quarter, Rail Passenger – Capital subprogram expenditures were \$2.1 million less than planned allotments, due to timing issues procuring locomotive equipment. Payments for equipment will be occurring later than anticipated.

Rail Freight – Capital subprogram expenditures were \$3 million more than planned allotments to-date, due to timing issues with Freight Rail Assistance Program (FRAP) invoices. The program anticipated a delay in invoicing due to the COVID-19 pandemic. However, delays did not materialize, and invoices were processed earlier than projected.

Overall Rail – Capital program expenditures were \$1.2 million (or 4%) over planned allotments to date. However, allotments will be updated to fix the timing issues in the Rail Passenger and Rail Freight – Capital subprograms during the sixth quarter. And will be reflected in the next quarterly financial report.

General Notes – Capital

Motor Vehicle Fund (Fund 108) remains unspent due to delays in the Bell Road Grade Separation project as well as two other projects.

PROGRAM Z – LOCAL PROGRAMS-OPERATING

Allotments vs. Expenditures by Subprogram and Fund

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Z1-Local Programs Management & Support	9,642	9,321	321	3%	14,364	13,322	30%	25%
108-Motor Vehicle	9,442	9,085	358	4%	13,564	12,972	30%	25%
218-Multimodal Transportation	200	236	(36)	(18%)	350	350	32%	25%
571-Multiuse Roadway Safety	-	-	-	0%	450	-	0%	25%
Z6-Subsidy - Wahkiakum Co. Ferry	892	848	44	5%	1,190	1,190	29%	25%
108-Motor Vehicle	892	848	44	5%	1,190	1,190	29%	25%
Grand Total	\$10,535	\$10,169	\$366	3%	\$15,554	\$14,512	30%	25%

Allotments vs. Expenditures by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Z1-Local Programs Management & Support	69	65	4	6%	\$71	71	8%	25%
784-Miscellaneous Trans Programs	69	65	4	6%	\$71	71	8%	25%
Grand Total	\$69	\$65	\$4	6%	\$71	\$71	8%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	1,904	1,989	(85)	(4%)	2,567	2,567	23%	25%
State	8,631	8,180	451	5%	12,987	11,945	32%	25%
Grand Total	\$10,535	\$10,169	\$366	3%	\$15,554	\$14,512	30%	25%

Program Highlights – Operating

During the sixth quarter and under the Governor's COVID-19 stay at home order; Local Programs adapted operations to a remote work environment and continued to supply essential services to local agencies. The program has not experienced a slowdown in customer requests.

Several program functions, such as final inspections, on-site project reviews, and project

management reviews were paused to address Washington Phase 1 restart requirements. These program functions will resume following the guidance outlined for restarting fieldwork and COVID-19 jobsite requirements. These functions are critical to ensure federal stewardship requirements.

A part of the small variance in Motor Vehicle-State is due to payment timing issues with local agencies.

PROGRAM Z – LOCAL PROGRAMS-CAPITAL

Allotments vs. Expenditures by Subprogram and Fund Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Z2-Local Programs Invest off State	139,181	116,967	22,214	16%	340,953	316,353	63%	25%
096-Highway Infrastructure	237	1	236	99%	2,613	2,613	100%	25%
09H-Transportation Partnership	1,691	1,380	311	18%	2,380	2,380	42%	25%
106-Highway Safety	600	1,245	(645)	(108%)	1,314	1,314	5%	25%
108-Motor Vehicle	30,689	22,092	8,597	28%	101,627	77,027	71%	25%
20H-Connecting Washington	71,310	54,168	17,142	24%	155,550	155,550	65%	25%
218-Multimodal Transportation	34,654	38,080	(3,426)	(10%)	77,469	77,469	51%	25%
Grand Total	\$139,181	\$116,967	\$22,214	16%	\$340,953	\$316,353	63%	25%

Allotments vs. Expenditures through by Subprogram and Fund

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-date				Total Biennium			
Subprogram and Fund	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Z2-Local Programs Invest off State	345,560	298,764	46,796	14%	\$556,554	556,554	46%	25%
784-Miscellaneous Transportation Programs	345,560	298,764	46,796	14%	\$556,554	556,554	46%	25%
Z9-Local Agency Invests State Sys.	22	(1)	23	103%	\$28	28	102%	25%
784-Miscellaneous Transportation Programs	22	(1)	23	103%	\$28	28	102%	25%
Grand Total	\$345,582	\$298,763	\$46,819	14%	\$556,582	\$556,582	46%	25%

Allotments vs. Expenditures by Fund Source

Appropriated Only

Dollars in Thousands

Appropriated Only	To-date				Total Biennium			
Fund Source	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
Federal	14,446	10,883	3,563	25%	42,757	42,757	75%	25%
Local	-	-	-	0%	24,600	-	0%	25%
State	124,735	106,084	18,651	15%	273,596	273,596	61%	25%
Grand Total	\$139,181	\$116,967	\$22,214	16%	\$340,953	\$316,353	63%	25%

Program Highlights – Capital

It is challenging to predict the monthly reimbursement activity on the approximately 1,000 open federal and state local agency projects that make up the program. The variance between the first allotment estimates and reimbursement requests over the quarter was greater than

expected. Historically as construction season picks up, reimbursement requests do as well.

Allotments continue to be watched and will be adjusted as necessary based on project activity and the timing of reimbursement requests.

APPENDICES

Appendix A – Agency Subprograms

Appendix B – Budget Program Contacts

Appendix C – Agency Expenditure Summary by Fund
(Nonappropriated Only)

Appendix D – Operating Summary by Object

Appendix E – Miscellaneous Transportation Account (Fund 784)

Appendix F – FTE Expenditure Summary (Nonappropriated)

Appendix G - Glossary

APPENDIX A – AGENCY SUBPROGRAMS

Agency Subprogram Listing

Subprogram	Subprogram
B1 Toll Oversight and Planning	Q1 Traffic Management and Support
B2 Tacoma Narrows Bridge Toll Operations & Maintenance	Q2 Traffic Operations Activities
B3 High Occupancy Toll Lanes Maintenance & Operations	Q3 Special Advanced Technology Projects
B4 I-405 Express Toll Lanes Operation	Q4 Wireless Communications
B5 State Route 520 Toll Operations	S1 Executive Management and Support
B9 Alaskan Way Viaduct Replacement	S2 Finance and Administration
C1 Business and Administration	T2 Planning
C2 Field Services	T3 Research and Library Services
C3 Infrastructure Services	T6 Pass Through Funds
C4 Enterprise Implementation	U0 Charges From/Payments to Other Agencies
C5 Information and Applications	V1 Public Transportation Administration
C6 Software Maintenance Contracts	V2 Public Transportation
C7 Data Management	V4 Rural Mobility Grant Programs
D3 Facilities-Capital	V5 Regional Mobility Grant Program
D4 Facilities-Operating	V6 Modal Coordination Program
E1 Transportation Equipment Fund	V7 Statewide Commute Trip Reduction
F1 Aviation Management and Support	V8 Public Transportation Safety and Security
F2 Airport Investment	V9 Transportation Demand Management Core Program
F3 State Airports	W1 Terminal Construction
F4 Aviation Emergency Services	W2 Vessel Construction
F5 Aviation Planning	W3 Emergency Repairs
F7 Aviation Capital	X0 Payroll Suspense
H1 Headquarters Program Delivery Management & Support	X1 Operations - Vessels
H2 Regional Program Delivery Management & Support	X2 Operations - Terminals
H3 Environmental Program Delivery Management & Support	X3 Operations - Management and Support
I1 Mobility Improvements	X4 Maintenance - Vessels
I2 Safety Improvements	X6 Maintenance - Terminals
I3 Economic Initiatives Improvements	X7 Maintenance - Management and Support
I4 Environmental Retrofit Improvement	X8 Finance and Administration Support
I5 Program Support Improvements	X9 Executive Management Support
I6 Regional Transit Authority Improvements	Y2 Rail Freight Operations
K2 Public-Private Partnerships	Y3 Rail Passenger Operations
M1 Maintenance Management and Support	Y4 Rail Passenger Capital
M2 Maintenance - on State System	Y5 Rail Freight Capital
M3 Maintenance Sales & Services To Others	Z1 Local Programs Management & Support
M5 Inventory & Stores Administration	Z2 Local Programs Investments - Off State System
M6 Undistributed - Inventory Suspense	Z6 Operating Subsidy - Wahkiakum County
P0 Payroll Suspense	Z9 Local Agency Investments - On State System
P1 Roadway Preservation	0M Advanced Environmental Mitigation Revolving Account
P2 Structures Preservation	0R Advance Right of Way Revolving Account
P3 Other Facilities Preservation	
P4 Program Support Preservation	
P5 Undistributed Costs Mats Lab/Geo./Printing	
P7 Undistributed Costs - Project Engineer	
P8 Undistributed Costs - Direct Project Support	
P9 Sales and Service to Others	

APPENDIX B – BUDGET PROGRAM CONTACTS

Budget Contacts by Program

Program	Budget Program Executive	Budget Program Managers	Budget Program Designees	Budget Program Contacts	BFA Budget Manager
B	Julie Meredith	Ed Barry	Pani Saleh	Yanming Yao	Stacey Halverstadt
C	Mike North	Matthew Modarelli	Kumar Yajamanam	Bob Loveless	Joe Patterson
D	Marshall Elizer	Pasco Bakotich	Mark Smith	Misun Peck	Joe Patterson
E	Marshall Elizer	Pasco Bakotich	Greg Hansen	Charleen Emmons	Joe Patterson
F	Marshall Elizer	David Fleckenstein	David Fleckenstein	Terri Palumbo	My-Trang Le
H	Kevin Dayton Mike North	Jay Alexander	Laura Sanborn	Lorri Riches	Doug Clouse
I	Kevin Dayton Mike North	Jay Alexander	Rich Struna	Thanh Nguyen	Doug Clouse
K	Mike North	Anthony Buckley	Tonia Buell	Jon Neville	Jon Neville
M1/M2/M3	Marshall Elizer	Pasco Bakotich	Greg Selstead	Andrea Fortune	Joe Patterson
M5/M6	Mike North	Jennifer Dahl	Jennifer Dahl	Hector Meneses	Joe Patterson
P	Kevin Dayton Mike North	Jay Alexander	Rich Struna	Thanh Nguyen	Doug Clouse
Q Op/Cap	Marshall Elizer	Ron Vessey (Acting)	Mike Dornfeld	Tiffany West	Doug Clouse
S	Mike North	Doug Vaughn	Amber Coulson	Hillary Badger	Stacey Halverstadt
T	Marshall Elizer	Kerri Woehler	Laura Sanborn	Lorri Riches	Stacey Halverstadt
U	Mike North	Doug Vaughn	Amber Coulson	Chelsea Buchanan	Jon Neville
V	Marshall Elizer	Brian Lagerberg	Robin Rettew	Firas Makhoul	Doug Clouse
W	Patty Rubstello	Rick Singer	John Bernhard	Dave Burns	My-Trang Le
X	Patty Rubstello	Rick Singer	John Bernhard	Matt Hanbey	My-Trang Le
Y Op/Cap	Marshall Elizer	Ron Pate	Jason Biggs	Brent Thompson	Jon Neville
Z Op/Cap	Marshall Elizer	Stephanie Tax (Acting)	Eileen Leingang	Doug Clouse	Doug Clouse
AEMRA	Marshall Elizer	Megan White	Megan White	Eric Wolin	Joe Patterson
AROW	Marshall Elizer	Terry Meara	Terry Meara	Kelley Roy	Joe Patterson

APPENDIX C – AGENCY EXPENDITURE SUMMARY

Agency Expenditure Summary by Fund & Program

Nonappropriated Only

Dollars in Thousands

Nonappropriated Only	To-Date				Total Biennium			
By Fund and Program	Allotments	Expenditures	Variance	Percent (Over)/Under	Expenditure Authority	Total Allotment	Remaining Allotment %	Months Remaining %
21G-Wa State Aviation Acct	\$50	\$9	\$41	81%	\$60	\$60	84%	25%
F-Aviation	50	9	41	81%	60	60	84%	25%
22L-Pub Use Gen Aviation Loan	\$3,089	\$541	\$2,547	82%	\$5,000	\$5,000	89%	25%
F-Aviation	3,089	541	2,547	82%	5,000	5,000	89%	25%
410-Transportation Equipment Fund	\$115,769	\$91,311	\$24,459	21%	\$163,072	\$163,072	43%	25%
E-Transportation Equipment Fund	115,769	91,311	24,459	21%	163,072	163,072	43%	25%
759-Miscellaneous Program	\$200	\$135	\$65	32%	\$300	\$300	55%	25%
Y-Rail	200	135	65	32%	300	300	55%	25%
784-Miscellaneous Trans Programs	\$479,248	\$418,539	\$60,709	13%	\$766,752	\$770,367	45%	25%
0-Other Programs	3,615	0	3,615	0%	-	3,615	100%	25%
C-Information Technology	3	3	(0)	(11%)	4	4	18%	25%
I-Improvements	32,158	29,244	2,914	9%	66,092	66,092	54%	25%
M-Highway Maintenance & Operations	3,171	2,868	303	10%	4,246	4,246	32%	25%
P-Preservation	13,995	13,460	535	4%	17,085	17,085	20%	25%
Q-Traffic Operations	2,541	2,071	470	18%	3,370	3,370	37%	25%
S-Transportation Mgmt. & Support	76	74	3	3%	80	80	8%	25%
T-Trans Planning, Data Research	14,636	14,193	443	3%	20,000	20,000	26%	25%
V-Public Transportation	63,402	57,797	5,605	9%	99,222	99,222	40%	25%
Z-Local Programs	345,651	298,828	46,823	14%	556,653	556,653	46%	25%
880-Advance Right of Way Revolving	0	3,586	(3,586)	0%	\$0	0	0%	25%
0-Other Programs	0	3,586	(3,586)	0%	-	0	0%	25%
880-Need to add to report	0	0	0	0%	\$3,615	0	0%	25%
0-Other Programs	0	0	0	0%	3,615	0	0%	25%
Grand Total	\$598,356	\$514,121	\$84,234	14%	\$938,799	\$938,799	45%	25%

Notes: At the close of sixth quarter, 6 months (or 25%) of the biennium remained. Although this report shows the remaining allotments for the biennium, not all funds may be available, due to provisos and reduction efforts.

Remaining allotment percentage calculated by subtracting expenditures to-date from total allotments, divided by total allotments. (Example from Grand Total in table above: $\$6,859,535 - 3,770,296 / \$6,859,535 = 0.45$ or 45)

APPENDIX D – AGENCY OPERATING SUMMARY BY OBJECT

Total Agency Operating Allotments & Expenditures to-Date by Object

Appropriated Only – Through September 2020

Dollars in Thousands

Appropriated Only	To-date				Total Biennium		
Agency Object Level	Allotments	Expenditures	Variance	Percent (Over)/Under	Total Allotment	Remaining Allotment %	Months Remaining %
A-Salaries and Wages	784,805	814,344	(29,539)	(4%)	1,050,856	23%	25%
B-Employee Benefits	300,743	298,804	1,939	1%	403,102	26%	25%
C-Professional Service Contracts	51,167	51,429	(262)	(1%)	75,244	32%	25%
E-Goods & Routine Other Services	594,249	519,102	75,147	13%	821,040	37%	25%
G-Travel	12,573	11,332	1,241	10%	16,958	33%	25%
J-Capital Outlays	2,502,170	1,871,482	630,688	25%	3,880,864	52%	25%
M-Interfund Operating Transfers	5,000		5,000	0%	5,000	100%	25%
N-Grants, Benefits & Client Services	328,765	266,104	62,662	19%	603,944	56%	25%
P-Debt Service	1,556	2,008	(452)	(29%)	2,674	25%	25%
S-Interagency Reimbursements	(162)	(9,660)	9,498	(5863%)	(305)	(3067%)	25%
T-Intra-Agency Reimbursements	128	(54,649)	54,777	42795%	159	34471%	25%
Grand Total	4,580,996	3,770,296	810,700	18%	6,859,535	45%	25%

APPENDIX E – MISCELLANEOUS TRANSPORTATION ACCOUNT (FUND 784)

Fund 784 – Dollars through September 2020

Nonappropriated

Dollars in Thousands

Expenditure Status			Quarter					Biennium to-date		
Subprogram			Expenditures	Allotments				Expenditures	Allotments	Variance
										Variance % (Over)/under
OR	Advanced Right of Way Acquisition		\$3,165	0				\$3,615	0	\$3,615
C3	Infrastructure Services		0	0				2.8	3.1	(.3)
I6	Regional Transit Authority Improvements		13,700	6,662				32,158	29,244	2,913
M2	Maintenance – On State System		0	57				321	410	(88)
M3	Maintenance – Sales and Services to Others		572	405				2,850	2,458	392
P9	Sales and Services to Others		1,2453	1,601				13,995	13,460	535
Q2	Traffic Services		402	464				2,541	2,071	470
S1	Executive Management and Support		21	0				76	74	2
T6	Pass Through Funds		3,069	2,744				14,636	14,193	443
V2	Public Transportation		17,911	18,978				63,402	57,797	5,605
Z1	Local Programs Management and Support		36	1				69	65	(4)
Z2	Construction – Off State System		91,115	64,604				345,560	298,764	46,796
Z9	Local Agency Investments on the State System		2	(609)				22	(656)	23
Total Expenditures			\$131,686	\$95,245				\$479,248	\$418,539	\$60,709
										12.7%
										\$770,367

Fund 784 – FTEs through September 2020

Nonappropriated

Full-Time Equivalent (FTEs)

FTE Status		Quarter		Biennium to-date				
Subprograms		Allotments	Expenditures	Allotments	Expenditures	Variance	Variance%	Biennial Plan
OR	Advance Right of Way Acquisition	0.0	0.0	0.0	0.0	0.0	0.0%	0.0
C3	Infrastructure Services	0.0	0.0	0.0	0.0	0.0	0.0%	0.0
I6	Regional Transit Authority Improvements	29.3	29.2	29.5	33.5	(4.0)	(13.4%)	29.5
M2	Maintenance – On State System	0.0	0.6	0.0	0.7	(0.7)	0.0%	0.0
M3	Maintenance – Sales and Services to Others	11.0	9.7	11.0	10.1	0.9	8.2%	11.0
P9	24.2	24.2	35.0	27.8	32.1	4.4	(15.7%)	26.9
Q2	Traffic Services	12.0	11.4	12.4	10.0	2.4	19.2%	12.2
S1	Executive Management and Support	0.0	0.0	0.0	0.0	0.0	0.0%	0.0
T6	Pass Through Funds	0.0	0.0	0.0	0.0	0.0	0.0%	0.0
V2	Public Transportation	24.0	17.5	24.0	24.2	(0.2)	(1.0%)	24.0
Z1	Local Programs Management and Support	0.3	0.0	0.3	0.1	0.2	73.6%	0.3
Z2	Construction – Off State System	20.0	23.3	19.6	25.9	(6.3)	(31.9%)	19.7
Z9	Local Agency Investments on the State System	0.7	0.0	0.7	0.0	0.7	100.0%	0.7
Total FTEs		121.52	126.7	125.3	136.6	911.3)	(9.0%)	124.3

APPENDIX F – FTE EXPENDITURE SUMMARY (NONAPPROPRIATED)

Agency FTE Expenditure Summary by Capital & Operating

Nonappropriated Only

Nonappropriated		Average FTE staff months to-date			Total Biennium	
by Program	Allotment	Expenditures	Variance	Variance %	Allotments	Months Remaining %
Capital	78	92	(15)	(19%)	77	25%
Operating	247	229	18	7%	246	25%
Grand Total	324	321	3	1%	322	25%

APPENDIX G – GLOSSARY

Definitions

Allotment	The department's plan of estimated expenditures, revenues, cash disbursements, and cash receipts for each month of the biennium.
Appropriation	A legislative authorization for programs to make expenditures, during a specified period, for specific purposes and from designated funding sources.
Biennium Plan	The portion of expenditure authority the department plans to spend in the current biennium.
Biennium	A 24-month fiscal period; the biennium period extends from July 1 of odd numbered years to September 30 of odd numbered years, such as the 2019-21 biennium, which runs from July 1, 2019 to September 30, 2021.
Expenditure Authority	Total authorized number of expenditures the department can make in the biennium. For each program, expenditure authority is the sum of legislative appropriations. additional appropriation authority for federal and local funds obtained through the unanticipated receipts process administered by the Office of Financial Management (OFM); and funds the department is authorized to spend that are not subject to legislative appropriations (such as, federal pass-through funds that are processed through the Miscellaneous Transportation Programs).
FTE (Staff)	Full time equivalent (FTE) is a way to measure the size of the state's workforce. One FTE equates to approximately 1,771 hours of work for a fiscal year and is determined by available hours less holidays and a three-year historical average of vacation and sick leave taken. Factors for conversion calculated monthly for regular full-time employees, temporary employees, and for overtime worked.
Internal Service Fund	A fund that produces goods or services on a cost reimbursement basis, and example of this is, Operations Transportation Equipment Fund (TEF), and a portion of the TEF purchases and maintains vehicles for the department's use and recovers costs from the program that use the equipment.
Nonappropriated Funds	Funding can be expended without legislative appropriation. Only funds in accounts specifically established in state law as being exempt from appropriation fall into this category. Nonappropriated accounts can either be budgeted (subject to Office of Financial Management (OFM) allotment approval), such as the Transportation Equipment Fund, or non-budgeted, such as the Miscellaneous Transportation Programs Account.
Program	A program is a major activity within the department expressed as a primary function or organizational unit. The department may not alter its program structure without explicit approval of the Legislature and the OFM.
Reserve	Appropriation authority that the department does not expect to use because of certain circumstances (technical corrections, proviso compliance, and/or the Governor's cash deficit allotment reductions).
Subprogram	A general term describing specific activities within the department's programs.
Unallotted	Appropriation authority not specifically scheduled for expenditure.
Unanticipated Receipts	Federal or Local money received, not appropriated by the Legislature. The Governor has the authority to approve allotment of such money within the guidelines of the intent for use.